

From $\alpha 4\beta 2$ Nicotinic Ligands to the Discovery of $\sigma 1$ Receptor Ligands: Pharmacophore Analysis and Rational Design

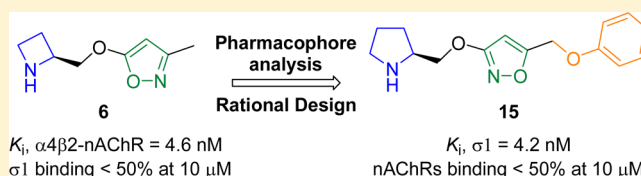
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Supporting Information

ABSTRACT: Comparative analyses of the pharmacophoric elements required for $\sigma 1$ and nicotinic ligands led to the identification of a potent and selective $\sigma 1$ ligand (**15**). Compound **15** displayed high selectivity for the $\sigma 1$ receptor (K_i , $\sigma 1 = 4.1$ nM; K_i , $\sigma 2 = 1312$ nM) with moderate binding affinity for the DAT ($K_i = 373$ nM) and NET ($K_i = 203$ nM) in the PDSP broad screening panel of common CNS neurotransmitter transporters and receptors. The key finding in this present work is that a subtle structural modification could be used as a tool to switch a ligand's selectivity between nAChRs and sigma receptors.

KEYWORDS: Nicotinic acetylcholine receptor, sigma-1 receptor, alkoxyisoxazole, pharmacophore, broad screening



Originally described as an opioid receptor subtype and subsequently as a member of the *N*-methyl-D-aspartate/phencyclidine (NMDA/PCP) receptor family, the sigma receptors are now known to modulate diverse physiological functions relevant to not only various neurological disorders but also certain forms of cancer. There are two types of sigma receptors: $\sigma 1$ and $\sigma 2$, which possess distinct pharmacological profiles in the central and peripheral nervous systems. The $\sigma 1$ receptor is a 223 amino acid protein with two transmembrane domains located at the mitochondrial-associated endoplasmic reticulum membrane.¹ On the other hand, the $\sigma 2$ receptor is overexpressed in aggressive tumor cells and as such has been proposed as a biomarker for tumor cell proliferation.² Various reports have demonstrated the involvement of σ receptors in the modulation of potassium and calcium channels as well as the release of important central nervous system (CNS) neurotransmitters such as dopamine, acetylcholine, 5-hydroxytryptamine (5-HT), and glutamate.³ A number of synthetic compounds targeting sigma receptors have been reported by both academia and pharmaceutical companies.⁴ In 2009, it was reported that *N,N*-dimethyltryptamine (DMT) could be a low potency endogenous ligand for the $\sigma 1$ and $\sigma 2$ receptors.⁵ Other endogenous $\sigma 1$ ligands such as *D-erythro*-sphingosine and sphinganine have also been studied.⁶ A diverse class of nonendogenous compounds is also known to bind to the $\sigma 2$ receptor, which include the psychoactive natural product ibogaine and various synthetic arylalkylamines. However, the lack of both structural information on this sigma receptor subtype and availability of highly selective ligands has hampered studies of the biomolecular mechanisms of $\sigma 2$ receptor action.

Sigma receptors (Figure 1) are also known to regulate neurotrophic factor signaling and exhibit promising neuroprotective properties. Moreover, assessment of the currently approved SSRIs has demonstrated that the $\sigma 1$ receptor is often

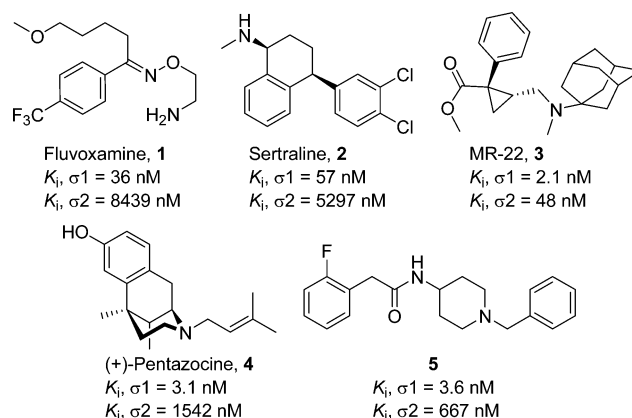


Figure 1. Structures of selected $\sigma 1$ receptor ligands.

targeted by these agents, and specifically in the cases of fluvoxamine (**1**) and sertraline (**2**), the binding affinities for $\sigma 1$ are found to be in the range of 36–57 nM.⁷ Taken together, these studies suggest that the $\sigma 1$ receptor could potentially be a novel target for the development of antidepressants with a novel mechanism of action. In addition, the σ ligand (–)-MR-22 (**3**) (K_i , $\sigma 1 = 2.1$ nM; K_i , $\sigma 2 = 48$ nM) has also been reported to confer procognitive and neuroprotective effects in rats with selective cholinergic lesion and amyloid infusion.⁸ Other notable $\sigma 1$ -selective synthetic ligands include the benzomorphan(+)-pentazocine (**4**), which is 500-fold more selective at the $\sigma 1$ ($K_i = 3.1$ nM) over the $\sigma 2$ receptor and is commonly used as the radioligand of choice to study specific $\sigma 1$

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receptor binding.⁹ The piperidyl amide **5** has also been reported as a synthetic derivative of haloperidol and displayed a binding affinity of 3.6 nM at the σ_1 receptor with 185-fold selectivity over the σ_2 receptor.¹⁰

During our ongoing campaign for the discovery of potent and selective $\alpha_4\beta_2$ nicotinic acetylcholine receptor (nAChR) partial agonists as novel antidepressant agents, structure–activity relationship (SAR) studies have been undertaken on the alkoxyisoxazoles, exemplified by compounds **6–13**, as an alternative scaffold to the reported pyridyl ethers.¹¹ In this ongoing project, a broad screening of selected promising compounds in a panel of approximately 50 common neurotransmitter receptors and transporters was being conducted by the Psychoactive Drug Screening Program (PDSP) at UNC, Chapel Hill, to confirm the global CNS selectivity of these ligands. In this manuscript, a serendipitous discovery of novel potent and selective σ_1 receptor ligands emanating from our efforts in the nicotinic ligands program is reported. Herein we show how a subtle structural change can serve as a tool to fine-tune ligand selectivity away from nAChRs to sigma receptors.

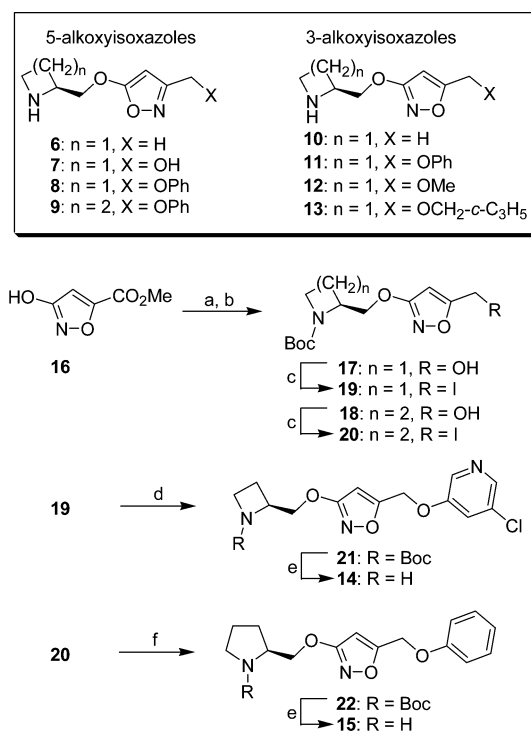
The synthesis of compounds **6–13** has been reported previously.¹¹ The 3-alkoxyisoxazoles **14** and **15** were synthesized utilizing the routes shown in Scheme 1. 3-

the phenyl ether **22** were obtained through nucleophilic substitution following standard methods. After acidic deprotection and subsequent purification by HPLC, compounds **14** and **15** were obtained as trifluoroacetates. The number of equivalents of trifluoroacetic acid (TFA) in these non-stoichiometric compounds was determined by elemental analysis.

In our previous studies, the 5-alkoxyisoxazoles were identified as novel nicotinic ligands, exemplified by the lead compound **6**, which displayed high selectivity for the β_2 -containing nAChRs ($\alpha_2\beta_2$ -, $\alpha_3\beta_2$ -, $\alpha_4\beta_2$ -, and $\alpha_4\beta_2^*$ -nAChRs) over the β_4 -containing nAChRs ($\alpha_3\beta_4$ -, $\alpha_2\beta_4$ -, and $\alpha_4\beta_4$ -nAChRs) compared to nicotine.¹¹ Compound **6** displayed favorable druglike properties in the preliminary absorption, distribution, metabolism, excretion, and toxicity (ADME-Tox) studies and demonstrated potent antidepressant-like activity in the classical mouse forced swim test. The selectivity profile of compound **6** was determined by the PDSP broad screening, where it was found to show a high selectivity for the nAChRs among the panel's 50 CNS-related neurotransmitter receptors and transporters, including σ_1 and σ_2 receptors. During the SAR investigation of this series, a 3-(phenoxymethyl)-substituted isoxazole (compound **8**) was found to bind to the σ_1 receptor with moderate affinity ($K_i = 328$ nM) but not to the σ_2 receptor (<50% binding in the primary assay at 10 μ M concentration), while maintaining affinity to the nAChRs (see Table 1). Deletion of the benzene ring of **8** gives the corresponding hydroxymethyl analog **7**, which did not show any significant binding affinity to either σ_1 or σ_2 receptors and also exhibited reduced affinities to the nAChRs. When the azetidine ring of compound **8** was replaced by the larger pyrrolidine ring to give compound **9**, binding to the σ_1 receptor was found to be increased 80-fold ($K_i = 4.2$ nM). At the same time, the binding affinities to the nAChRs were reduced by about 2- to 12-fold compared to the case of compound **7** (see Table 1).

As evident in the preliminary SAR above (Table 1), the phenoxymethyl substituent at the 3-position of the isoxazole was found to be crucial for optimal binding at the σ_1 receptor. Intrigued by this phenomenon, we sought more information in the literature on the pharmacophoric elements required for σ_1 receptor binding,¹² which include (1) a substituted nitrogen-containing heterocycle (exemplified by piperidine, piperazine, or pyrrolidine)⁴ and (2) an aromatic ring, typically a phenyl ring, at a distance of approximately 6–10 Å. Applying this pharmacophore model of the σ_1 receptor to compounds **8** and **9**, we found a good match, as shown in the top panel of Figure 2. A side-by-side comparison of the pharmacophores for the σ_1 receptors and the nicotinic receptors is provided in Figure 2. For the nicotinic receptors, the hydrogen bond acceptor element is required to be positioned approximately 5–9 Å away from the cationic nitrogen.¹⁴ Once the phenoxymethyl substituent is attached to the isoxazole, the binding affinity to the σ_1 receptor begins to manifest itself (cf. compound **7** vs **8** and **9**), in addition to nAChR binding. This is consistent with the known pharmacophore models for the σ_1 and nicotinic receptors.

In our previous study with nAChRs, it was observed that the N–O flip in the 5-alkoxyisoxazoles to afford the 3-alkoxyisoxazoles, exemplified by compounds **10–14**, diminished affinity to all seven investigated nAChR subtypes, which is possibly due to the misalignment of the key pharmacophoric elements (see Table 2).¹¹ Inspired by the σ_1 binding affinities

Scheme 1^a

^aReagents and conditions: (a) 1-(*tert*-butoxycarbonyl)-2(*S*)-azetidylmethanol or 1-(*tert*-butoxycarbonyl)-2(*S*)-pyrrolidinylmethanol, diisopropylazodicarboxylate, PPh₃, THF; (b) LiBH₄, THF; (c) I₂, PPh₃, imidazole, CH₂Cl₂; (d) 5-chloropyridin-3-ol, K₂CO₃, DMF; (e) TFA, CH₂Cl₂; (f) phenol, K₂CO₃, DMF.

Hydroxyisoxazole-5-carboxylic acid methyl ester (**16**) and Boc-protected 2(*S*)-azetidylmethanol or 2(*S*)-pyrrolidinylmethanol underwent Mitsunobu reaction to form 3-alkoxyisoxazoles, which were subsequently reduced with LiBH₄ to form the alcohols **17** and **18**. These alcohols were transformed to the corresponding iodides **19** and **20**. The pyridinyl ether **21** and

Table 1. *In Vitro* Binding Affinities of the 5-Alkoxyisoxazoles 6–15 at Seven Rat nAChRs, σ_1 Receptors, and σ_2 Receptors¹³

| ID | K_i (nM) ^a | | | | | | | | σ_1 | σ_2 | |
|--------------------------|-------------------------|-------------------|-------------------|-------------------|-------------------|------------------------|-------------------|-----------------|------------|------------|------------|
| | nAChRs ^b | | | | | | | σ_1 | | | σ_2 |
| | $\alpha_2\beta_2$ | $\alpha_2\beta_4$ | $\alpha_3\beta_2$ | $\alpha_3\beta_4$ | $\alpha_4\beta_2$ | $\alpha_4\beta_2^{*c}$ | $\alpha_4\beta_4$ | | | | |
| 6 | 4.3 | 311 | 8.7 | 692 | 4.6 | 12.0 | 86.0 | NA ^d | NA | | |
| 7 | 197 | >10 ⁴ | 521 | >10 ⁴ | 137 | 637 | 4900 | NA | NA | | |
| 8 | 47.9 | 58.0 | 362 | 186 | 23.8 | 172 | 27.5 | 328 | NA | | |
| 9 | 176 | 320 | 2040 | 809 | 160 | 2120 | 55.1 | 4.2 | NA | | |
| 10 | 3340 | >10 ⁴ | 6670 | >10 ⁴ | 1950 | 7380 | >10 ⁴ | NA | NA | | |
| 11 | NA | NA | NA | NA | NA | NA | NA | 33 | 1472 | | |
| 12 | NA | NA | 4100 | NA | NA | NA | NA | NA | NA | | |
| 13 | NA | NA | NA | NA | NA | NA | NA | NA | 369 | | |
| 14 | >10 ⁴ | 1820 | >10 ⁴ | 6100 | 2220 | >10 ⁴ | 5580 | 103 | NA | | |
| 15 | NA | NA | NA | NA | NA | NA | NA | 4.1 | 1312 | | |
| haloperidol ^e | | | | | | | | 7.0 | 74 | | |
| nicotine ^e | 5.5 | 70.0 | 29.0 | 260 | 4.9 | 9.8 | 23.0 | | | | |

^aSee Supporting Information. Radioligands: nAChRs: [³H]epibatidine; σ_1 : [³H]pentazocine; σ_2 : [³H]DTG (ditolylguanidine). ^bThe K_i values of nAChRs for 6–13 are cited from the literature.¹¹ ^c $\alpha_4\beta_2^*$, endogenous receptors prepared from rat forebrain. Besides α_4 and β_2 , other unidentified subunits may also be present. ^dNot active, defined as <50% binding in the primary assay at 10 μ M. ^eThe K_i values for nicotine and haloperidol are taken from the PDSP Assay Protocol Book.

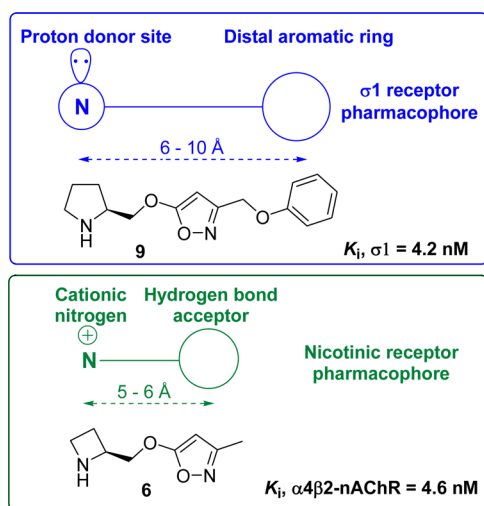


Figure 2. σ_1 receptor pharmacophore model (top) and nicotinic receptor pharmacophore model (bottom) and proposed match of substructures with pharmacophore elements for compounds 9 and 6.

of some of the 5-alkoxyisoxazoles, the 3-alkoxyisoxazoles 10–14 were subsequently evaluated for their binding affinity to σ receptors. Encouragingly, the aromatic ethers 11 and 14 were found to be relatively potent binders to the σ_1 receptor (K_i = 33 and 103 nM). The phenoxymethyl compound 11, in particular, is found to be a more potent σ_1 binder than the corresponding 5-alkoxyisoxazole 8 (K_i = 328 nM), suggesting that the N–O reversion (isoxazole flip) is highly important for nAChRs binding, but less so for σ_1 receptor binding. Compound 10 and the aliphatic ethers 12 and 13 were found to be inactive at the σ_1 receptor, as the distal aromatic ring was absent in all these three compounds, consistent with the proposed σ_1 pharmacophore (Figure 2). Interestingly, the cyclopropyl analog 13 is found to be a moderately potent σ_2

binder (K_i = 369 nM). Given that the replacement of the azetidine ring with a pyrrolidine ring resulted in increased σ_1 activity (as seen in 8 and 9) in the 5-alkoxyisoxazoles, the pyrrolidine analog 15 was then designed and evaluated by PDSP. As expected, the binding affinity of 15 to the σ_1 receptor increased by about 8-fold (K_i , σ_1 = 4.1 nM, K_i , σ_2 = 1312 nM) compared to that of 11.

A broad-range screening study was carried out for compounds 11 and 15 to further determine their global selectivity profiles among 42 other CNS neurotransmitter receptors and transporters, including serotonin receptors, dopamine receptors, GABA receptors, biogenic amine transporters, adrenergic receptors, muscarinic receptors, opioid receptors, and histamine receptors (tested at 10 μ M concentration, NIMH-PDSP, University of North Carolina, Chapel Hill). As shown in Table 3, the two compounds demonstrated good selectivity profiles vs the listed biological targets during this primary screening. A secondary binding study of compounds 11 and 15 was next carried out at those receptors and transporters where the binding efficacy at 10 μ M was greater than 50%, which include the serotonergic receptors (5-HT_{2A}, 5-HT_{2B}, 5-HT_{2C}, 5-HT₆), the β_2 adrenergic receptor, histaminergic receptors (H₁ and H₂), the DAT, and the NET. There were no significant binding activities observed at the serotonergic 5-HT_{2A}, 5-HT_{2B}, 5-HT_{2C}, and 5-HT₆ receptors, the β_2 -adrenergic receptor, and the H₁- and H₂-histaminergic receptors (K_i > 4000 nM). Compound 15 was found to be the more selective of the two σ_1 ligands (K_i = 4.1 nM) with approximately 90-fold and 50-fold selectivity vs the DAT and NET, respectively. Compound 11 has similar binding affinities at both the σ_1 receptor (K_i = 33 nM) and the DAT (K_i = 24 nM) together with moderate NET affinity (K_i = 191 nM).

In the context of the possible use of σ_1 ligands as novel antidepressants, compounds 11 and 15 could be considered as triple inhibitors of the σ_1 receptor, DAT, and NET. Many

Table 2. Primary Binding Competition Efficacies (%) of Compounds 11 and 15 at Various Neurotransmitter Receptors and Transporters^a

| target | ID | serotonergic receptors | | | | | | | | |
|------------|----|-------------------------------|--------------------|--------------------|---------------------------|----------------------|--------------------|--------------------|-------------------|--------------------|
| | | 5-HT _{1A} | 5-HT _{1B} | 5-HT _{1D} | 5-HT _{1E} | 5-HT _{2A} | 5-HT _{2B} | 5-HT _{2C} | 5-HT ₃ | 5-HT _{5A} |
| inhibition | 15 | 34.4 | -1.2 ^b | 15.7 | -4.7 | 68 | 54.5 | 76.9 | 7.0 | 32.4 |
| | 11 | 26.3 | 2.3 | 14 | 5.9 | 77.9 | 43.3 | 68.8 | -0.1 | 15.2 |
| target | ID | serotonergic receptors | | GABA | dopaminergic receptors | | | | | BZPR ^c |
| | | 5-HT ₆ | 5-HT ₇ | GABA _A | D ₁ | D ₂ | D ₃ | D ₄ | D ₅ | |
| inhibition | 15 | 28.3 | 16.9 | 5.7 | -5.7 | 23.9 | 35.9 | 32 | 36.5 | 27.1 |
| | 11 | 51.6 | 42.4 | 2.5 | 6.1 | 22.9 | 12.9 | 30 | 4.9 | 23.5 |
| target | ID | adrenergic receptors | | | | | | | | |
| | | α_{1A} | α_{1B} | α_{1D} | α_{2A} | α_{2B} | α_{2C} | β_1 | β_2 | β_3 |
| inhibition | 15 | 21 | 27.8 | 25.2 | 36.1 | 44.4 | 39.3 | -1.2 | 55.6 | 7.2 |
| | 11 | 17.5 | 18.1 | 22.4 | 17.4 | 34.8 | 43.8 | 22.1 | 29.4 | -1.5 |
| target | ID | histaminergic receptors | | | | muscarinic receptors | | | | |
| | | H ₁ | H ₂ | H ₃ | H ₄ | M ₁ | M ₂ | M ₃ | M ₄ | M ₅ |
| inhibition | 15 | 55 | 57.2 | -4.9 | 26.5 | 4.4 | 11.8 | 12.2 | 33.6 | 36.6 |
| | 11 | 49.2 | 40.9 | 4.7 | 42.6 | 5.7 | 12.6 | 47.6 | 35.1 | 44.5 |
| target | ID | opioid receptors ^d | | | transporters ^e | | | | | |
| | | DOR | KOR | MOR | DAT | NET | SERT | | | |
| inhibition | 15 | 10.3 | 9.4 | 29.8 | 59.4 | 95.9 | 3.4 | | | |
| | 11 | 4.9 | 6.7 | 38.1 | 91.8 | 97.2 | 8.8 | | | |

^aThe default concentration for primary binding experiments is 10 μ M ($n = 4$). For details see the Supporting Information. ^bNegative inhibition represents a stimulation of binding. ^cBZPR: benzodiazepine receptors (rat brain site). ^dDOR: δ opioid receptor; KOR: κ opioid receptor; MOR: μ opioid receptor. ^eDAT: dopamine transporter; NET: norepinephrine transporter; SERT: serotonin transporter.

Table 3. Secondary Binding Affinities of Compounds 11 and 15 at Selected Neurotransmitter Receptors and Transporters^a

| ID | K_i (nM) ^a | | | | | | | | | |
|----|-------------------------|--------------------|--------------------|-------------------|------------------|------------------|----------------|-----|-----|------------|
| | 5-HT _{2A} | 5-HT _{2B} | 5-HT _{2C} | 5-HT ₆ | β_2 | H ₁ | H ₂ | DAT | NET | σ_1 |
| 11 | 6405 | NA | >10 ⁴ | >10 ⁴ | NA | NA | NA | 24 | 191 | 33 |
| 15 | 4406 | 5097 | >10 ⁴ | NA ^b | >10 ⁴ | >10 ⁴ | 5157 | 373 | 203 | 4.1 |

^aSee Supporting Information. K_i values were determined for those targets where the binding efficacy at 10 μ M was greater than 50%. ^bNA: not active, defined as <50% binding in the primary assay at 10 μ M.

SSRIs currently in the market inhibit the reuptake of monoamines in the brain. For example, the tricyclic antidepressant desipramine inhibits norepinephrine reuptake, and bupropion is a dual inhibitor of both DAT and NET. A triple reuptake inhibitor of serotonin, dopamine, and norepinephrine, JZAD-IV-22, has also been reported to be an effective antidepressant in a clinical trial.¹⁵ Further work is needed on the functional properties of compounds 11 and 15 to determine whether they are agonists or antagonists at these receptors and transporters.

In conclusion, we report the identification of compound 15 as a selective σ_1 ligand resulting from a comparative analysis of the pharmacophoric elements required for σ_1 and nicotinic ligands. Attachment of the phenoxyethyl substituent to previously reported $\alpha_4\beta_2$ -nAChR-selective 5-alkoxyisoxazoles provides the required pharmacophoric element to fill in the distal hydrophobic region. A further improvement of selectivity for the σ_1 receptor away from the nicotinic receptors was achieved via an N–O flip in the isoxazole ring. Tested at the 50 common CNS neurotransmitter transporters and receptors of the PDSP panel, compound 15 displayed high selectivity for the σ_1 vs the σ_2 receptor (K_i , $\sigma_1 = 4.1$ nM, K_i , $\sigma_2 = 1312$ nM) with moderate binding affinity for the DAT ($K_i = 373$ nM) and NET ($K_i = 203$ nM). The main SAR information from this work is that a subtle structural modification in our alkoxyisoxazole

nicotinic ligands could be used as a tool to fine-tune their selectivity between the nAChRs and the sigma receptors.

■ ASSOCIATED CONTENT

📄 Supporting Information

Experimental procedures and analytical data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

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■ ABBREVIATIONS

NMDA, *N*-methyl-*D*-aspartate; PCP, phencyclidine [1-(1-phenylcyclohexyl)piperidine]; CNS, central nervous system; 5-HT, 5-hydroxytryptamine; DMT, *N,N*-dimethyltryptamine; nAChR, nicotinic acetylcholine receptor; NIMH-PDSP, National Institute of Mental Health Psychoactive Drug Screening Program; SAR, structure–activity relationship; ADME-Tox, absorption, distribution, metabolism, excretion, and toxicity; TFA, trifluoroacetic acid

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